

Appian for Financial Services

INTERNAL AUDIT

- Integrate disjointed manual processes for increased audit accuracy
- Gain a full audit history across multiple businesses and regions
- Improve visibility over escalations and accountability for follow-up actions
- Use intelligent automation to achieve faster, more accurate insights

The financial services industry is experiencing significant disruption: a constantly evolving regulatory landscape, rapid technological innovation, and the threat of new and nimble FinTech competitors. In this environment, internal audit functions are more important than ever. These functions help a financial institution evaluate performance, identify areas for improvement, and ensure information security and regulatory compliance for risk management. Activities typically run an annual cycle of assessment, planning, and execution—and can be a heavy undertaking given the people, processes, and data they involve.

MEET THE CHALLENGE

Appian streamlines the entire lifecycle of audit activities. With Appian's low-code development platform, financial institutions gain the oversight necessary to scope a project, monitor its status, and quickly remediate control gaps, plus evolve their internal audit functions into the future.

Improve Internal Audit functions with:

- **Real-time reporting** for improved stakeholder visibility
- **A centralized repository** for documents that cover risks, objectives, and performance
- **Unified systems** powered by intelligent automation, combining business process management, next-generation artificial intelligence, and robotic process automation
- **A comprehensive overview** for easily managing and planning, activities and tasks around internal audit projects



FOCUS

Appian's easy-to-use application enables financial institutions to:

- Improve user experience with an intuitive, easy-to navigate interface
- Break down the silos between previously disjointed manual processes
- Streamline reporting and improve the auditability of data, processes, decisions, and outcomes
- Achieve better insights with the speed and accuracy of intelligent automation

TAKE CONTROL

Quickly build, deploy, and scale corporate functions initiatives with enterprise solutions, including:

- Financial Accounting Processes including month-end, quarterly, annual close
- HR Management
- Legal Management
- Enterprise Risk Response and Investigations
- Finance Management
- Investment Strategy On-Boarding
- IT and Service Management
- Tax Management
- Treasury Dashboard

PREPARE FOR THE FUTURE

Pressure is mounting to deliver innovation in financial services. At Appian, we believe you should get it right the first time, prove value quickly, and build on your success.

Appian's low-code platform accelerates application development while leveraging your legacy IT investments.

With Appian, financial institutions can build web and mobile apps faster, run them on-premises or in the cloud, and manage complex processes, end-to-end, without limitations.

LEADERS IN FINANCIAL SERVICES TRUST APPIAN:



STATE STREET



CME Group

S&P Global



Realize the benefits of Appian to transform your internal audit processes. Learn more at [appian.com/finserv](https://www.appian.com/finserv)

Appian

Appian provides a low-code development platform that accelerates the creation of high-impact business applications. Many of the world's largest financial institutions use Appian applications to improve customer experience, achieve operational excellence, and simplify global risk management and compliance.

For more information, visit www.appian.com/finserv