

## Appian for Financial Services

### HORIZON SCANNING

- Manage and control processes from end to end
- Gain control over escalations and accountability for follow-up actions
- Bring data together seamlessly from any source
- Achieve full visibility through a customizable and actionable interface

Horizon scanning is a critical application for regulatory compliance teams. It transforms your ability to detect early signs of new laws and regulations that may impact your financial institution. This is an important component of your second line of defense: the processes, procedures and applications involved in managing, controlling, and mitigating risk.

### MEET THE CHALLENGE

Appian's low-code automation platform strengthens your ability to track and monitor regulatory changes through automation and improved coordination. It captures data manually, using robotic process automation (RPA), natural language processing (NLP), and through integration with government and regulatory websites and third party data providers. With Appian, you can prepare at the highest level and implement changes that affect policies, procedures, and IT infrastructure throughout all lines of business.

### Appian improves Horizon Scanning with:

- **Increased visibility** into emerging domestic and global regulations, laws, and rules.
- **Rapid change** that can respond as your business evolves and grows.
- **Seamless SLA management** to ensure a successful implementation.
- **Simplified data capture** with the power of full-stack automation – workflow, artificial intelligence (AI), and RPA.



### FOCUS

Transform business processes and build the digital future for horizon scanning.

- Break down the silos between disjointed manual processes
- Reduce the costs associated with monitoring regulatory changes
- Monitor and prioritize regulatory changes, in order to quickly determine their impact across your financial institution

### TAKE CONTROL

Quickly build, deploy, and scale governance, risk, and compliance initiatives with enterprise solutions that include:

- Service Provider Oversight and Control
- Data Privacy, including GDPR and CCPA
- Anti-money laundering regulations (AML)
- Credit Risk Review

### PREPARE FOR THE FUTURE

As pressure mounts on financial institutions to deliver innovation, Appian believes you should get it right the first time, prove value quickly, and build on your success.

Appian's low-code automation platform gives you the power to accelerate application development while leveraging your legacy IT investments.

Build web and mobile applications faster, run them on-premises or in the cloud, and manage complex processes end to end without limitations.

#### LEADERS IN FINANCIAL SERVICES TRUST APPIAN:



Realize the benefits of Appian to transform Horizon Scanning for Regulatory Change.  
Learn more at: [appian.com/finserv](https://www.appian.com/finserv)

## Appian

Appian provides a low-code automation platform that accelerates the creation of high-impact business applications. Many of the world's largest financial institutions use Appian applications to improve customer experience, achieve operational excellence, and simplify global risk management and compliance.

For more information, visit [www.appian.com/finserv](https://www.appian.com/finserv)