

# Appian for Financial Services

## Anti-money laundering.

- Reduce the frequency of false-positive alerts
- Gain full visibility into all potential Anti-money laundering (AML) cases
- Mitigate financial and reputational risk
- Improve efficiency with access to data across the enterprise

The global financial crisis of 2008 spurred new regulations, with heavy fines and penalties for non-compliance. As a result, banks have invested significantly in AML procedures and technology to improve the way they monitor and investigate financial crime. However, such investments often create technical debt and data silos across the enterprise, resulting in fragmented processes and high rates of false-positive alerts.

Fragmented processes with manual hand-offs result in human error and make it difficult to enforce policies and procedures. Meanwhile, the sheer volume of alerts overwhelms investigation teams, increasing the likelihood that genuine cases get missed.

### Meet the challenge.

Address all of these challenges and more with Appian. Its powerful business process orchestration connects data from disparate systems and makes it actionable, without the need to move it into another system. Dynamic case management helps automate the interactions between people, processes, systems, and external information providers.

With data synchronized across systems, applications, and databases, front-line risk teams have powerful analytics, stronger alert management, and improved accuracy.

### Appian AML delivers:

- Streamlined processes across departments that eliminate reliance on manual tasks and human error
- Consistent data processing and integration of information sources, with streamlined data management across service providers
- Unified, extended systems that combine business process management (BPM), artificial intelligence (AI), and robotic process automation (RPA)
- Enhanced visibility into the risk profiles of third- and fourth-party service providers, to screen for sanctions, negative news, litigation matters, or trading compliance issues



## Focus.

Deliver key capabilities for effective AML compliance with an automated approach that allows:

- Improved internal controls and oversight
- Quick adaptation to new regulations
- Enforced process execution, with a complete audit trail of all cases
- Increased transparency across events, departments, products, and regions

## Take control.

Quickly build, deploy, and scale governance, risk and compliance initiatives with enterprise solutions, including:

- CIP / KYC / EDD
- Credit risk review
- Data privacy, including GDPR and CCPA
- IFRS stress test management
- Horizon scanning
- Enterprise risk management
- Service provider sourcing and oversight
- Employee trade compliance
- Anti-bribery and corruption
- Political contributions surveillance
- FATCA / Common Reporting Standard (CRS) management

## Prepare for the future.

Get innovation right the first time, prove value quickly, and build on success.

Appian's low-code automation platform accelerates application development while leveraging legacy IT investments.

With Appian, financial institutions can build web and mobile applications faster, run them on-premises or in the cloud, and manage complex processes end-to-end without limitations.

Leaders in Financial Services trust Appian:



Realize the benefits of Appian to transform your Anti-money laundering.

Learn more at: [appian.com/finserv](https://appian.com/finserv)

**appian**

Appian helps organizations build apps and workflows rapidly, with a low-code automation platform. Combining people, technologies, and data in a single workflow, Appian can help maximize resources and improve business results. Many of the world's largest organizations use Appian applications to improve customer experience, achieve operational excellence, and simplify global risk management and compliance.

For more information, visit [www.appian.com/finserv](https://www.appian.com/finserv)